Approved for use through 09/30/2000. OMB 0651-0032

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Method

UTILITY PATENT APPLICATION **TRANSMITTAL**

PC883.00/4906-017 Attorney Docket No. First Inventor or Application Identifier Roy Lim Title Expanding Int rbody Implant and Articulating Ins rt r and

Only for new nonp	rovisional applications under 37 CFR § 1.53(b))	Express Mail L	abei ivo.	EV 368	/516/2 US		۲.,	
57	APPLICATION ELEMENTS apter 600 concerning utility patent application con				Mail Stop Paten Commissioner f P.O. Box 1450 Alexandria, VA	or Patents 22313-1450	4 U.S.P /763115	
2.	red arrangement set forth below) criptive title to the Invention ss References to Related Applications rement Regarding Fed sponsored R & D erence to Microfiche Appendix kground of the Invention of Summary of the Invention of Description of the Drawings (if filed) ailed Description m(s) tract of the Disclosure og(s) (35 U.S.C. 113) [Total Sheets 14] or Declaration [Total Pages 2] ewly executed (original or copy)	8. 9. 10. 11. 12. 13.	Nucleotide a (if applicable a.	and/or Amire, all neces omputer Reaper Copy tatement vectoment Paper F.R. § 3.73(Interest and Translation Disclonent (IDS)/Finary Amen Receipt Ped be specific	eadable Copy (identical to corerifying identity ING APPLICAT ers (cover sheet b) Statement assignee) on Document (if cosure PTO-1449 edment ostcard (MPEP fically itemized)	mputer copy) of above copies TION PARTS & document(s)) Power of Att applicable) Copies of ID Citations	os	
i. [5. Incorporate of the encopy or is constant accompared of the encopy of the enco	opy from a prior application (37 C.F.R. § 1.6 or continuation/divisional with Box 17 complete [Note Box 5 below] DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b) oration By Reference (useable if Box 4b is of the oath or declaration is supplied under Buildered as being part of the disclosure of the panying application and is hereby incorporatice therein.	teted) 15. 16. 16. checked) which a dox 4b, eted by	(if forei	nent(s) ed Copy of ign priority Express R ITEMS 1 TO PAY SI ATEMENT CEPT IF O	Status still Priority Docume is claimed) s Mail Certificat 8 14: IN ORDE MALL ENTITY I IS REQUIRED INE FILED IN A LIED UPON (37	ER TO BE FEES, A SMALL (37 C.F.R. PRIOR C.F.R. § 1.28).	red	
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary statement: Continuation Divisional Continuation-in-part (CIP) Of prior application No: Prior application information: Examiner: Group/Art Unit:								
Tal. Correspondence Address Z4112 or ☐ Correspondence address below								
NAME ADDRESS								
CITY COUNTRY		STATE TELEPHONE			ZIP CODE FAX			
Name (Print/Type) Signature								

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Roy Lim)) EVEDESS MAIL CERTIFICATION
Serial No.:	EXPRESS MAIL CERTIFICATION LABEL NO.: EV 368751672 US
Filed: January 22, 2004))
Title: EXPANDING INTERBODY IMPLANT AND ARTICULATING INSERTER AND METHOD))
Attorney's Docket No: PC883.00/4906-017	,
MAIL STOP PATENT APPLICATION COMMISSIONER FOR PATENTS	Raleigh, North Carolina January 22, 2004

Sir:

P.O. BOX 1450

ALEXANDRIA, VA 22313-1450

EXPRESS MAIL CERTIFICATE LABEL NO.: EV 368751672 US DATE MAILED: January 22, 2004

I hereby certify that the enclosed US Utility Patent Application Transmittal Form, Fee Transmittal Form (2 copies), specification and claims, drawings (14 sheets), Declaration and Power of Attorney, Assignment and Recordation Sheet, and our check number 11053 in the amount of \$2236 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

COATS & BENNETT, P.L.L.C.

By:

David D. Kalish

Registration No. 42,706

Telephone: (919) 854-1844

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FEE TRANSMITTAL		Complete if Known			
Patent fees are subject to annual revision on October 1		Application Number	TBA		
These are the fees effectiv	e December 29, 1999.	Filing Date	TBA		
Small Entity payments <u>must</u> be supp	orted by a small entity statement.	First Named Inventor	Roy Lim		
otherwise large entity fees must be p	paid. See Forms PTO/SB/09-12.	Examiner Name	TBA		
See 37 C.F.R. §§	1.27 and 1.28.	Group Art Unit	TBA		
TOTAL AMOUNT OF PAYMENT	(\$) 2236.00	Attorney Docket No.	PC883.00/4906-017		

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. The Commission is hereby authorized to charge		3.	ADDIT	IONAL	FEES		
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_		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
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Account Coats & Bennett, P.L.L.C. Name		147	2,520	147	2,520	For filing a request for reexamination	· ·
Maine		112	920*	112	920*	Requesting publication of SIR prior	
Charge Any Additional Charge the Issue Fee Se	, in					to Examiner action	11 8
Fee Required Under 37 CFR §1.18 at the Mailing of the	ži III	113	1,840*	113	1,840*	Requesting publication of SIR after	
37 CFR §§1.16 and 1.17 Notice of Allowance	İ		440	045		Examination action	<u> </u>
		115 116	110 380	215 216	55 190	Extension for reply within first month	<u> </u>
2. Payment Enclosed:		117	870	217	435	Extension for reply within second month	⊢ → "
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FEE CALCULATION	$\overline{}$	128	1,850	228	925	Extension for reply within fifth month	<u> </u>
1. BASIC FILING FEE		119	300	219	150	Notice of Appeal	1
Large Entity Small Entity		120	300	220	150	Filing a brief in support of an appeal	H
Fee Fee Fee Fee Description Fee Pa		121	260	221	130	Request for oral hearing	
Code (\$) Code (\$)	"	138	1,510	138	1,510	Petition to institute a public use proceeding	
101 770 201 345 Utility filing fee 770.00	\neg	140	110	240	55	Petition to revive - unavoidable	-1-1
106 310 206 155 Design filing fee		141	1,210	241	605	Petition to revive - unintentional	
107 480 207 240 Plant filing fee		142	1,210	242	605	Utility issue fee (or reissue)	
108 760 208 380 Reissue filing fee		143	430	243	215	Design issue fee	
114 150 214 75 Provisional filing fee		144	580	244	290	Plant issue fee	
SUBTOTAL (1) (\$)770.00		122	130	122	130	Petitions to the Commissioner	
		123	50	123	50	Petitions related to provisional applications	
2. EXTRA CLAIM FEES		126	240	126	240	Submission of Information Disclosure Stmt.	
Fee from		581	40	581	40	Recording each patent assignment per	40.00
Extra Claims below Fee	Paid			001	.,0	property (times number of properties)	1 40.00
Total Claims 61 -20** = 41 X 18.00 = 738.	~~	146	760	246	380	Filing a submission after final rejection	
Independent 11 -3** = 8 X 86.00 = 688.						(37 CFR 1.129(a))	
Claims	<u> </u>	149	760	249	380	For each additional invention to be	<u> </u>
Multiple Dependent Claims X =		140	700	243	300		
** or number previously paid, if greater; For Reissues, see below						examined (37 CFR 1.129(b))	ا لـــا
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104 260 204 130 Multiple dependent claim							
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and over original patent	1						
SUBTOTAL (2) (\$) 1426.00							İ

SUBMITTED BY		Complete (if applicable)			
Typed or Printed Name	David D. Kalish			Reg. Number	42,706
Signature	Dephis	Date	January 22, 2004	Deposit Account User ID	18-1167

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